

**UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF TEXAS
HOUSTON DIVISION**

IN RE ALTA MESA RESOURCES, INC.
SECURITIES LITIGATION

Case No. 4:19-cv-00957

Judge George C. Hanks, Jr.

**APPENDIX TO DEFENDANTS’ MEMORANDUM OF LAW
IN SUPPORT OF THEIR MOTIONS IN LIMINE**

In accordance with Court Procedure 7(B)(3), Moving Defendants submit this Appendix in support of their Memorandum of Law in Support of Their Motions in Limine, which is filed concurrently herewith. Moving Defendants rely on the following evidence to support their motion:

Exhibit	Description
N/A	Declaration of Matthew J. Peters in Support of Defendants’ Memorandum of Law in Support of Their Motions in Limine (FILED UNDER SEAL)
1	Class Plaintiffs’ Demonstrative Chart of Alleged False and Misleading Statements for Use at Oral Argument on April 24, 2024
2	Redline of Class Plaintiffs’ Demonstrative Chart of Alleged False and Misleading Statements for Use at Oral Argument on April 24, 2024
3	(FILED UNDER SEAL)
4	(FILED UNDER SEAL)
5	(FILED UNDER SEAL)

Dated: October 21, 2024

Respectfully submitted,

/s/ J. Christian Word

J. Christian Word
Attorney-in-Charge for Defendants
D.C. Bar No. 461346
S.D. Tex. Bar No. 3398485
Andrew S. Clubok
S.D. Tex. Bar No. 3891875
Matthew Peters (*pro hac vice*)
D.C. Bar No. 1015700
Laura E. Bladow (*pro hac vice*)
D.C. Bar No. 1740343
LATHAM & WATKINS LLP
555 Eleventh Street, NW
Suite 1000
Washington DC 20004
(202) 637-2200
Christian.Word@lw.com
Andrew.Clubok@lw.com
Matthew.Peters@lw.com
Laura.Bladow@lw.com

Of Counsel:

Heather A. Waller
IL Bar No. 6302537
S.D. Tex. Bar No. 2886108
LATHAM & WATKINS LLP
330 North Wabash Avenue, Suite 2800
Chicago, IL 60611
Tel: (312) 876-7700
Fax: (312) 993-9767
Heather.Waller@lw.com

*Counsel for Defendants Alta Mesa
Resources, Inc., f/k/a Silver Run
Acquisition Corporation II; Riverstone
Holdings LLC; Harlan H. Chappelle;
Michael E. Ellis; William D. Gutermuth;
James T. Hackett; Ronald J. Smith;
Jeffrey H. Tepper; and Diana J. Walters*

Walter M. Berger

TX Bar No. 00798063
Attorney-in-Charge
WINSTON & STRAWN LLP
800 Capitol Street, Suite 2400
Houston, TX 77002-2925
Tel.: (713) 615-2699
Fax: (713) 651-2700
cberger@winston.com

Of Counsel:
Katherine A. Preston
TX Bar No. 24088255
WINSTON & STRAWN LLP
800 Capitol Street, Suite 2400
Houston, TX 77002-2925
Tel.: (713) 615-2699
Fax: (713) 651-2700
kpreston@winston.com

John E. Schreiber (*pro hac vice*)
CA Bar No. 261558
WINSTON & STRAWN LLP
333 S. Grand Ave., 38th Floor
Los Angeles, CA 90071
Tel.: (213) 615-1700
Fax: (213) 615-1750
JSchreiber@winston.com
*Co-Counsel for Harlan H. Chappelle,
Michael E. Ellis*

CERTIFICATE OF SERVICE

I certify that on October 21, 2024, a true and correct copy of the foregoing document was filed with the Clerk of Court using the CM/ECF system, which will send electronic notification of such filing to all counsel of record. Additionally, the foregoing document was served to all counsel of record via secure file transfer.

/s/ J. Christian Word

J. Christian Word

EXHIBIT 1

**IN RE ALTA MESA RESOURCES, INC.
SECURITIES LITIGATION**

Case No. 4:19-CV-00957

**Class Plaintiffs' Demonstrative Chart of Alleged False and Misleading Statements
for Use at Oral Argument on April 24, 2024**

Andrew J. Entwistle
ENTWISTLE & CAPPUCCI LLP
500 West 2nd Street, Suite 1900
Austin, TX 78701

Trig Smith
ROBBINS GELLER RUDMAN & DOWD LLP
655 West Broadway, Suite 1900
San Diego, CA 92101

Chart of Alleged False and Misleading Statements*In re Alta Mesa Resources, Inc. Securities Litigation*

Date and Source	Defendant(s) for Primary Violation	Statutory Claim(s) for Primary Violation	Defendants for Section 20(a) Control Violation	Alleged Misstatement
August 16, 2017 Press Release	AMR, Hackett, Chappelle, Walker	Section 10(b)	Riverstone, HPS, BCE, ARM Energy, Hackett, Chappelle, Ellis, Smith, Dimitrievich, McMullen, Lapeyre, Leuschen, Gutermuth, Tepper, Walters, Coats, Walker	“We formed [Alta Mesa] with the objective of acquiring-low breakeven, stacked-pay, oil-weighted assets, preferably with an integrated midstream platform.” (§190).
August 16, 2017 Press Release	AMR, Hackett, Chappelle, Walker	Section 10(b)	Riverstone, HPS, BCE, ARM Energy, Hackett, Chappelle, Ellis, Smith, Dimitrievich, McMullen, Lapeyre, Leuschen, Gutermuth, Tepper, Walters, Coats, Walker	“We see this as a tremendous way to continue our evolution as a low-cost, high-value producer in the STACK.” (§190).
August 16, 2017 Press Release	AMR, Hackett, Chappelle, Walker	Section 10(b)	Riverstone, HPS, BCE, ARM Energy, Hackett, Chappelle, Ellis, Smith, Dimitrievich, McMullen, Lapeyre, Leuschen, Gutermuth, Tepper, Walters, Coats, Walker	AMH had identified “about 4,200 gross identified drilling locations” and based on its currently producing wells AMH “expects EURs at year end to exceed 650 MBOE per well.” (§190).
August 17, 2017 Investor Conference Call and Investor Presentation	AMR, Hackett, Chappelle	Section 10(b)	Riverstone, HPS, BCE, ARM Energy, Hackett, Chappelle, Ellis, Smith, Dimitrievich, McMullen, Lapeyre, Leuschen, Gutermuth, Tepper, Walters, Coats, Walker	“First, we’ll talk about the introduction. When we went out to look for targets for [Alta Mesa], we had laid out investment criteria that are shown on slide 5. And both individually as an Upstream and Midstream company and collectively as an integrated platform, this transaction satisfies those criteria.” (§192).

Date and Source	Defendant(s) for Primary Violation	Statutory Claim(s) for Primary Violation	Defendants for Section 20(a) Control Violation	Alleged Misstatement
August 17, 2017 Investor Conference Call and Investor Presentation	AMR, Hackett, Chappelle	Section 10(b)	Riverstone, HPS, BCE, ARM Energy, Hackett, Chappelle, Ellis, Smith, Dimitrievich, McMullen, Lapeyre, Leuschen, Gutermuth, Tepper, Walters, Coats, Walker	The STACK was a “[h]igh margin core basin with low field break-evens [with] deep inventory.” (¶192).
August 17, 2017 Investor Conference Call and Investor Presentation	AMR, Hackett, Chappelle	Section 10(b)	Riverstone, HPS, BCE, ARM Energy, Hackett, Chappelle, Ellis, Smith, Dimitrievich, McMullen, Lapeyre, Leuschen, Gutermuth, Tepper, Walters, Coats, Walker	“We have over 200 wells that we’ve drilled here and we’ve demonstrated the value, and we have confidence in the upside. As an illustration of that, at the end of the second quarter [of 2017], we drilled on the order of 200 wells; of those, over 160 were on production. And of that number, about 114 had sufficient production history to give us confidence that at the end of this year, our year-end reserves will reflect better than 650,000 BOE.” (¶194).
August 17, 2017 Investor Presentation	AMR, Hackett, Chappelle	Section 10(b)	Riverstone, HPS, BCE, ARM Energy, Hackett, Chappelle, Ellis, Smith, Dimitrievich, McMullen, Lapeyre, Leuschen, Gutermuth, Tepper, Walters, Coats, Walker	Kingfisher was “rapidly expanding” and “positioned to capture volume growth from the STACK.” (¶196).
August 17, 2017 Investor Presentation	AMR, Hackett, Chappelle	Section 10(b)	Riverstone, HPS, BCE, ARM Energy, Hackett, Chappelle, Ellis, Smith, Dimitrievich, McMullen, Lapeyre, Leuschen, Gutermuth, Tepper, Walters, Coats, Walker	Kingfisher was “well positioned to serve other operators” and take advantage of the “[e]xpansion opportunities in [the] rapidly growing basin.” (¶196).

Date and Source	Defendant(s) for Primary Violation	Statutory Claim(s) for Primary Violation	Defendants for Section 20(a) Control Violation	Alleged Misstatement
August 17, 2017 Investor Presentation	AMR, Hackett, Chappelle	Section 10(b)	Riverstone, HPS, BCE, ARM Energy, Hackett, Chappelle, Ellis, Smith, Dimitrievich, McMullen, Lapeyre, Leuschen, Gutermuth, Tepper, Walters, Coats, Walker	Alta Mesa was estimated to achieve \$358 million in EBITDA in 2018 and \$701 million in EBITDA in 2019. (¶109)
August 17, 2017 Investor Presentation	AMR, Hackett, Chappelle	Section 10(b)	Riverstone, HPS, BCE, ARM Energy, Hackett, Chappelle, Ellis, Smith, Dimitrievich, McMullen, Lapeyre, Leuschen, Gutermuth, Tepper, Walters, Coats, Walker	Kingfisher was estimated to have achieved \$42 million in 2017 EBITDA, and was expected to increase its 2018 EBITDA to \$185 million and its 2019 EBITDA to \$318 million. (¶196).
August 17, 2017 Investor Presentation	AMR, Hackett, Chappelle	Section 10(b)	Riverstone, HPS, BCE, ARM Energy, Hackett, Chappelle, Ellis, Smith, Dimitrievich, McMullen, Lapeyre, Leuschen, Gutermuth, Tepper, Walters, Coats, Walker	Kingfisher was “rapidly expanding” and “positioned to capture volume growth from the STACK.” Kingfisher was “well positioned to serve other operators” and take advantage of the “[e]xpansion opportunities in [the] rapidly growing basin.” Alta Mesa further stated that there was an opportunity to monetize Kingfisher and fund upstream capital needs through spinning off Kingfisher as a master limited partnership (MLP) IPO. (¶204).
January 19, 2018 Form DEFM14A Proxy	AMR, Hackett, Riverstone, Gutermuth, Tepper, Walters	Sections 10(b) and 14(a)	For Count II (Control of Section 10(b) Fraud Claims): Riverstone, HPS, BCE, ARM Energy, Hackett, Chappelle, Ellis, Smith, Dimitrievich, McMullen, Lapeyre, Leuschen, Gutermuth, Tepper, Walters, Coats, Walker For Count IV (Control of Section 14(a) Proxy Claims): Riverstone, HPS, BCE, ARM Energy, Hackett, Gutermuth, Tepper, Walters	AMH had achieved 2017 adjusted EBITDAX of \$155 million and was expected to increase its 2018 adjusted EBITDAX to \$358 million and its 2019 adjusted EBITDAX to \$701 million. (¶¶109, 374).

Date and Source	Defendant(s) for Primary Violation	Statutory Claim(s) for Primary Violation	Defendants for Section 20(a) Control Violation	Alleged Misstatement
January 19, 2018 Form DEFM14A Proxy	AMR, Hackett, Riverstone, Gutermuth, Tepper, Walters	Sections 10(b) and 14(a)	<p>For Count II (Control of Section 10(b) Fraud Claims): Riverstone, HPS, BCE, ARM Energy, Hackett, Chappelle, Ellis, Smith, Dimitrievich, McMullen, Lapeyre, Leuschen, Gutermuth, Tepper, Walters, Coats, Walker</p> <p>For Count IV (Control of Section 14(a) Proxy Claims): Riverstone, HPS, BCE, ARM Energy, Hackett, Gutermuth, Tepper, Walters</p>	The Proxy contained “financial projections . . . prepared on a reasonable basis” and “reflected the best currently available estimates and judgments of [AMH] and Kingfisher, as applicable.” The Proxy represented that its financial figures “presented, to the best of their knowledge and belief, the expected course of action and the expected future financial performance of Alta Mesa and Kingfisher, respectively.” (¶¶198, 207).
January 19, 2018 Form DEFM14A Proxy	AMR, Hackett, Riverstone, Gutermuth, Tepper, Walters	Sections 10(b) and 14(a)	<p>For Count II (Control of Section 10(b) Fraud Claims): Riverstone, HPS, BCE, ARM Energy, Hackett, Chappelle, Ellis, Smith, Dimitrievich, McMullen, Lapeyre, Leuschen, Gutermuth, Tepper, Walters, Coats, Walker</p> <p>For Count IV (Control of Section 14(a) Proxy Claims): Riverstone, HPS, BCE, ARM Energy, Hackett, Gutermuth, Tepper, Walters</p>	As of September 2017, [AMH] was operating six horizontal drilling rigs in the STACK with plans to continue to operate that number of rigs through the end of 2017. (¶374). The Proxy further stated that AMH planned to increase the average number of rigs in operation to 10 in 2018, and to 11 in 2019. (¶374).

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January 19, 2018 Form DEFM14A Proxy	AMR, Hackett, Riverstone, Gutermuth, Tepper, Walters	Sections 10(b) and 14(a)	<p>For Count II (Control of Section 10(b) Fraud Claims): Riverstone, HPS, BCE, ARM Energy, Hackett, Chappelle, Ellis, Smith, Dimitrievich, McMullen, Lapeyre, Leuschen, Gutermuth, Tepper, Walters, Coats, Walker</p> <p>For Count IV (Control of Section 14(a) Proxy Claims): Riverstone, HPS, BCE, ARM Energy, Hackett, Gutermuth, Tepper, Walters</p>	<p>“Of the 220 wells drilled, over 183 were on production, and of that number, about 116 had sufficient production history to give Alta Mesa’s management confidence that [AMH’s] type well EUR is greater than 650 MBOE.” (¶¶105, 199, 289).</p>
January 19, 2018 Form DEFM14A Proxy	AMR, Hackett, Riverstone, Gutermuth, Tepper, Walters	Sections 10(b) and 14(a)	<p>For Count II (Control of Section 10(b) Fraud Claims): Riverstone, HPS, BCE, ARM Energy, Hackett, Chappelle, Ellis, Smith, Dimitrievich, McMullen, Lapeyre, Leuschen, Gutermuth, Tepper, Walters, Coats, Walker</p> <p>For Count IV (Control of Section 14(a) Proxy Claims): Riverstone, HPS, BCE, ARM Energy, Hackett, Gutermuth, Tepper, Walters</p>	<p>AMH had achieved an estimated 2017 average net daily production of 20.8 MBOE/d and was expected to increase its 2018 average net daily production to 38.5 MBOE/d and its 2019 average net daily production to 68.9 MBOE/d. (¶¶201, 290, 373).</p>

Date and Source	Defendant(s) for Primary Violation	Statutory Claim(s) for Primary Violation	Defendants for Section 20(a) Control Violation	Alleged Misstatement
January 19, 2018 Form DEFM14A Proxy	AMR, Hackett, Riverstone, Gutermuth, Tepper, Walters	Sections 10(b) and 14(a)	<p>For Count II (Control of Section 10(b) Fraud Claims): Riverstone, HPS, BCE, ARM Energy, Hackett, Chappelle, Ellis, Smith, Dimitrievich, McMullen, Lapeyre, Leuschen, Gutermuth, Tepper, Walters, Coats, Walker</p> <p>For Count IV (Control of Section 14(a) Proxy Claims): Riverstone, HPS, BCE, ARM Energy, Hackett, Gutermuth, Tepper, Walters</p>	AMH's deep inventory includes over 4,000 primary gross locations and over 12,000 possible locations from down spacing, as well as additional zone penetration. (¶¶105, 378).
January 19, 2018 Form DEFM14A Proxy	AMR, Hackett, Riverstone, Gutermuth, Tepper, Walters	Sections 10(b) and 14(a)	<p>For Count II (Control of Section 10(b) Fraud Claims): Riverstone, HPS, BCE, ARM Energy, Hackett, Chappelle, Ellis, Smith, Dimitrievich, McMullen, Lapeyre, Leuschen, Gutermuth, Tepper, Walters, Coats, Walker</p> <p>For Count IV (Control of Section 14(a) Proxy Claims): Riverstone, HPS, BCE, ARM Energy, Hackett, Gutermuth, Tepper, Walters</p>	Kingfisher had developed a strong, local midstream system underpinned by long-term acreage dedication contracts from multiple active producers, as well as firm takeaway contracts on key pipelines, Kingfisher was well-positioned to benefit from increasing upstream development activity in an active and prolific basin with upside potential from further expansion projects, . . . and there was significant upside in the completion of the Kingfisher system and the potential for a subsequent midstream initial public offering. (¶¶106, 374).

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January 19, 2018 Form DEFM14A Proxy	AMR, Hackett, Riverstone, Gutermuth, Tepper, Walters	Sections 10(b) and 14(a)	<p>For Count II (Control of Section 10(b) Fraud Claims): Riverstone, HPS, BCE, ARM Energy, Hackett, Chappelle, Ellis, Smith, Dimitrievich, McMullen, Lapeyre, Leuschen, Gutermuth, Tepper, Walters, Coats, Walker</p> <p>For Count IV (Control of Section 14(a) Proxy Claims): Riverstone, HPS, BCE, ARM Energy, Hackett, Gutermuth, Tepper, Walters</p>	"Silver Run believes that Kingfisher offers a unique opportunity to own a rapidly expanding midstream business underpinned by 10 to 15 year acreage dedications early in their term and that Kingfisher also has the potential for a subsequent midstream initial public offering." (§105).
January 19, 2018 Form DEFM14A Proxy	AMR, Hackett, Riverstone, Gutermuth, Tepper, Walters	Sections 10(b) and 14(a)	<p>For Count II (Control of Section 10(b) Fraud Claims): Riverstone, HPS, BCE, ARM Energy, Hackett, Chappelle, Ellis, Smith, Dimitrievich, McMullen, Lapeyre, Leuschen, Gutermuth, Tepper, Walters, Coats, Walker</p> <p>For Count IV (Control of Section 14(a) Proxy Claims): Riverstone, HPS, BCE, ARM Energy, Hackett, Gutermuth, Tepper, Walters</p>	Kingfisher was estimated to have achieved \$42 million in 2017 EBITDA and was expected to increase its 2018 EBITDA to \$185 million and its 2019 EBITDA to \$318 million. (§§ 109, 206, 374).

Date and Source	Defendant(s) for Primary Violation	Statutory Claim(s) for Primary Violation	Defendants for Section 20(a) Control Violation	Alleged Misstatement
January 19, 2018 Form DEFM14A Proxy	AMR, Hackett, Riverstone, Gutermuth, Tepper, Walters	Sections 10(b) and 14(a)	<p>For Count II (Control of Section 10(b) Fraud Claims): Riverstone, HPS, BCE, ARM Energy, Hackett, Chappelle, Ellis, Smith, Dimitrievich, McMullen, Lapeyre, Leuschen, Gutermuth, Tepper, Walters, Coats, Walker</p> <p>For Count IV (Control of Section 14(a) Proxy Claims): Riverstone, HPS, BCE, ARM Energy, Hackett, Gutermuth, Tepper, Walters</p>	<p>[AMH]’s policies and practices regarding internal controls over the recording of reserves are structured to objectively and accurately estimate its oil and gas reserves quantities and present values in compliance with rules, regulations and guidance provided by the SEC, as well as established industry practices used by independent engineering firms and its peers and in accordance with the 2007 Petroleum Resources Management System sponsored and approved by the Society of Petroleum Engineers, the World Petroleum Council, the American Association of Petroleum Geologists and the Society of Petroleum Evaluation Engineers. . . .</p> <p>[AMH]’s methodologies include reviews of production trends, material balance calculations, analogy to comparable properties and/or volumetric analysis. (¶¶ 111, 293).</p>
February 21, 2018 EnerCom Dallas Conference Investor Presentation	AMR, Chappelle, Ellis	Section 10(b)	Riverstone, HPS, BCE, ARM Energy, Hackett, Chappelle, Ellis, Smith, Dimitrievich, McMullen, Lapeyre, Leuschen, Gutermuth, Tepper, Walters, Sinclair	<p>“2012-2017 Execution and Results De-Risk [the] Investment”</p> <p>“Multi-well development projects initiated in 2017; previous pattern tests validate approach”</p> <p>“Consistency and geographic breadth of well results underscores repeatable development”</p> <p>“Spacing test pilots establish basis for development approach” (¶209).</p>
March 29, 2018 Press Release Announcing Earnings Results and Earnings Presentation	AMR, Chappelle, Ellis, Hackett	Section 10(b)	Riverstone, HPS, BCE, ARM Energy, Hackett, Chappelle, Ellis, Smith, Dimitrievich, McMullen, Lapeyre, Leuschen, Gutermuth, Tepper, Walters, Sinclair	We have de-risked our acreage with over 250 operated horizontal wells and a comprehensive scientific and engineering effort to begin systematic development with multi-well patterns. (¶211).

Date and Source	Defendant(s) for Primary Violation	Statutory Claim(s) for Primary Violation	Defendants for Section 20(a) Control Violation	Alleged Misstatement
March 29, 2018 Press Release Announcing Earnings Results and Earnings Presentation	AMR, Chappelle, Ellis, Hackett	Section 10(b)	Riverstone, HPS, BCE, ARM Energy, Hackett, Chappelle, Ellis, Smith, Dimitrievich, McMullen, Lapeyre, Leuschen, Gutermuth, Tepper, Walters, Sinclair	We expect drilling and completion costs on these wells to average \$3.8 million and our type curve has been approximately 650 MBOE per well. (¶211).
March 29, 2018 Press Release Announcing Earnings Results and Earnings Presentation	AMR, Chappelle, Ellis, Hackett	Section 10(b)	Riverstone, HPS, BCE, ARM Energy, Hackett, Chappelle, Ellis, Smith, Dimitrievich, McMullen, Lapeyre, Leuschen, Gutermuth, Tepper, Walters, Sinclair	Slide 6 of the Fourth Quarter Earnings Presentation suggested that an 8-to-10 well density per section was optimal for the STACK. (¶214).
March 29, 2018 Fourth Quarter 2017 Earnings Conference Call	AMR, Chappelle, Ellis, Hackett	Section 10(b)	Riverstone, HPS, BCE, ARM Energy, Hackett, Chappelle, Ellis, Smith, Dimitrievich, McMullen, Lapeyre, Leuschen, Gutermuth, Tepper, Walters, Sinclair	With respect to its Kingfisher midstream business, Alta Mesa stated that “despite setbacks in late 2017 and early 2018, with regard to [Kingfisher], our vision for growth remain [sic] strong We believe our margins are going to continue to expand as we build up critical infrastructure and expand production on existing wells” (¶216).
March 29, 2018 Fourth Quarter 2017 Earnings Conference Call	AMR, Chappelle, Ellis, Hackett	Section 10(b)	Riverstone, HPS, BCE, ARM Energy, Hackett, Chappelle, Ellis, Smith, Dimitrievich, McMullen, Lapeyre, Leuschen, Gutermuth, Tepper, Walters, Sinclair	“During [2017 and early 2018] we ... further de-risked and delineated our Kingfisher County acreage [in STACK].... We have transitioned from spacing tests to development patterns.” (¶213).

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March 29, 2018 Fourth Quarter 2017 Earnings Conference Call	AMR, Chappelle, Ellis, Hackett	Section 10(b)	Riverstone, HPS, BCE, ARM Energy, Hackett, Chappelle, Ellis, Smith, Dimitrievich, McMullen, Lapeyre, Leuschen, Gutermuth, Tepper, Walters, Sinclair	<p>Defendant Chappelle, the CEO of the combined business (and former CEO of AMH), stated that multiple “large third-party producers” had delayed drilling on acreage served by Kingfisher (§ 140)</p> <p>See also: § 18. (“While announcing these previously known-but-undisclosed defects in March and August 2018, Alta Mesa repeatedly characterized the problems as merely temporary delays and continued to advertise that the Company was poised for substantial growth. However, in truth Alta Mesa was drilling too many wells and deploying expensive ESP pumps to temporarily inflate its short-term oil production because it knew the Company’s acreage could not produce the advertised amounts of oil in the long term.”)</p>
March 29, 2018 2017 Form 10-K	AMR, Chappelle, Ellis, Dimitrievich, Gutermuth, Hackett, Lapeyre, Leuschen, McMullen, Sinclair, Smith, Tepper, Walters	Section 10(b)	Riverstone, HPS, BCE, ARM Energy, Hackett, Chappelle, Ellis, Smith, Dimitrievich, McMullen, Lapeyre, Leuschen, Gutermuth, Tepper, Walters, Sinclair	Risk Factor that, “If third-party pipelines or other midstream facilities interconnected to our gathering, processing, storage or transportation systems become partially or fully unavailable, or if the volumes we gather, process, store or transport do not meet the quality requirements of the pipelines or facilities to which we connect, our gross profit and cash flow could be adversely affected.” (§217).
March 29, 2018 2017 Form 10-K	AMR, Chappelle, Ellis, Dimitrievich, Gutermuth, Hackett, Lapeyre, Leuschen, McMullen, Sinclair, Smith, Tepper, Walters	Section 10(b)	Riverstone, HPS, BCE, ARM Energy, Hackett, Chappelle, Ellis, Smith, Dimitrievich, McMullen, Lapeyre, Leuschen, Gutermuth, Tepper, Walters, Sinclair	Alta Mesa’s 2017 Form 10-K stated that that “there [had] been no change in [its] internal control over financial reporting” in Item [9A] Controls and Procedures. (§219).

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March 29, 2018 2017 Form 10-K	AMR, Chappelle	Section 10(b)	Riverstone, HPS, BCE, ARM Energy, Hackett, Chappelle, Ellis, Smith, Dimitrievich, McMullen, Lapeyre, Leuschen, Gutermuth, Tepper, Walters, Sinclair	SOX certifications attested to the accuracy of the Company's financial reporting, the disclosure of any material changes to the Company's internal control over financial reporting, and the disclosure of all fraud. (§220).
April 9, 2018 IPAA-OGIS Conference and Investor Presentation	AMR, Chappelle, Hackett	Section 10(b)	Riverstone, HPS, BCE, ARM Energy, Hackett, Chappelle, Ellis, Smith, Dimitrievich, McMullen, Lapeyre, Leuschen, Gutermuth, Tepper, Walters, Sinclair	With regard to utilizing ESPs in the STACK, Chappelle stated while discussing a slide titled "Production Optimization," that "[n]ow, here's one simple example of a well that we optimized with an ESP, obviously a very good outcome if you're producing on the order of 800 barrels a day.... But the point is there are opportunities like this. We've got a lot of effort focused on that." (§222).
April 9, 2018 IPAA-OGIS Conference and Investor Presentation	AMR, Chappelle, Hackett	Section 10(b)	Riverstone, HPS, BCE, ARM Energy, Hackett, Chappelle, Ellis, Smith, Dimitrievich, McMullen, Lapeyre, Leuschen, Gutermuth, Tepper, Walters, Sinclair	Slide 8 of Chappelle's presentation at the IPAA Conference stated the STACK was generating "[c]onsistent [w]ell [r]esults [with] [d]urable economics over time across acreage position." (§224).
April 9, 2018 IPAA-OGIS Conference and Investor Presentation	AMR, Chappelle, Hackett	Section 10(b)	Riverstone, HPS, BCE, ARM Energy, Hackett, Chappelle, Ellis, Smith, Dimitrievich, McMullen, Lapeyre, Leuschen, Gutermuth, Tepper, Walters, Sinclair	Slide 9 of the IPAA Conference presentation touted ESP utilization as a method to "maximize ROI, EBITDA and optimize reserves:" (§224).

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May 14, 2018 First Quarter 2018 Investor Presentation	AMR, Chappelle, Hackett	Section 10(b)	Riverstone, HPS, BCE, ARM Energy, Hackett, Chappelle, Ellis, Smith, Dimitrievich, McMullen, Lapeyre, Leuschen, Gutermuth, Tepper, Walters, Sinclair	Slide 6 of the presentation stated: “Multi-well development pattern results across field are favorable.” Slide 9 of the presentation showed a well life-cycle implying that ESPs added to the STACK net daily production numbers and that utilization of ESPs continued to make economic sense. When Chappelle showed this slide to investors, he stated: “I’d like to refer you to Slide 9 in our presentation for three recent examples of the gains we’ve seen in that lift optimization.” (§226).
May 14, 2018 First Quarter 2018 Investor Call	AMR, Chappelle	Section 10(b)	Riverstone, HPS, BCE, ARM Energy, Hackett, Chappelle, Ellis, Smith, Dimitrievich, McMullen, Lapeyre, Leuschen, Gutermuth, Tepper, Walters, Sinclair	Defendant Chappelle also stated: “We are reaffirming our FY ‘18 guidance. We have confidence in presenting operating results such as production, revenue, expenses as those numbers will not change.” (§227).
May 21, 2018 First Quarter 2018 Form 10-Q	AMR, Chappelle, Ellis, Dimitrievich, Gutermuth, Hackett, Lapeyre, Leuschen, McMullen, Sinclair, Smith, Tepper, Walters	Section 10(b)	Riverstone, HPS, BCE, ARM Energy, Hackett, Chappelle, Ellis, Smith, Dimitrievich, McMullen, Lapeyre, Leuschen, Gutermuth, Tepper, Walters, Sinclair	Incorporated Risk Factors from 2017 Form 10-K, including the assertion that its business “involves the use of the latest available horizontal drilling, completion and production technology, which involve risks and uncertainties in their application” and that its business “depend[s] on successful exploration, exploitation, development and acquisitions to maintain reserves and revenue in the future.” (§229).
May 21, 2018 First Quarter 2018 Form 10-Q	AMR, Chappelle, Ellis, Dimitrievich, Gutermuth, Hackett, Lapeyre, Leuschen, McMullen, Sinclair, Smith, Tepper, Walters	Section 10(b)	Riverstone, HPS, BCE, ARM Energy, Hackett, Chappelle, Ellis, Smith, Dimitrievich, McMullen, Lapeyre, Leuschen, Gutermuth, Tepper, Walters, Sinclair	The Incorporated Risk Factors from 2017 Form 10-K, included the following statement: “If third-party pipelines or other midstream facilities interconnected to our gathering, processing, storage or transportation systems become partially or fully unavailable, or if the volumes we gather, process, store or transport do not meet the quality requirements of the pipelines or facilities to which we connect, our gross profit and cash flow could be adversely affected.” (§231).

Date and Source	Defendant(s) for Primary Violation	Statutory Claim(s) for Primary Violation	Defendants for Section 20(a) Control Violation	Alleged Misstatement
May 21, 2018 First Quarter 2018 Form 10-Q	Chappelle, AMR	Section 10(b)	Riverstone, HPS, BCE, ARM Energy, Hackett, Chappelle, Ellis, Smith, Dimitrievich, McMullen, Lapeyre, Leuschen, Gutermuth, Tepper, Walters, Sinclair	Item 4 represented that the Company's internal controls over financial reporting were effective and required no change. (¶233). SOX certifications attested the accuracy of the Company's financial reporting, the disclosure of any material changes to the Company's internal control over financial reporting, and the disclosure of all fraud. (¶235).
August 14, 2018 Second Quarter 2018 Earnings Call and Presentation	Hackett, Chappelle, AMR	Section 10(b)	Riverstone, HPS, BCE, ARM Energy, Hackett, Chappelle, Ellis, Smith, Dimitrievich, McMullen, Lapeyre, Leuschen, Tepper, Walters, Sinclair	Slide 9 of the PowerPoint presentation made available for all participants stated: "Multi-well development pattern results continue to be favorable." (¶237).
August 14, 2018 Second Quarter 2018 Earnings Call and Presentation	Hackett, Chappelle, AMR	Section 10(b)	Riverstone, HPS, BCE, ARM Energy, Hackett, Chappelle, Ellis, Smith, Dimitrievich, McMullen, Lapeyre, Leuschen, Tepper, Walters, Sinclair	Slide 17 of the presentation showed a well life-cycle implying that ESPs added to the STACK's net daily production numbers and that utilization of ESPs continued to make economic sense. (¶237).
August 15, 2018 Second Quarter 2018 Form 10-Q	AMR, Chappelle, Ellis, Dimitrievich, Hackett, Lapeyre, Leuschen, McMullen, Sinclair, Smith, Tepper, Walters	Section 10(b)	Riverstone, HPS, BCE, ARM Energy, Hackett, Chappelle, Ellis, Smith, Dimitrievich, McMullen, Lapeyre, Leuschen, Tepper, Walters, Sinclair	Alta Mesa's Second Quarter Form 10-Q incorporated by reference each of the "Risk Factors" in its 2017 Form 10-K. These included the assertion that Alta Mesa's business "involves the use of the latest available horizontal drilling, completion and production technology, which involve risks and uncertainties in their application" and that its business "depend[s] on successful exploration, exploitation, development and acquisition to maintain reserves and revenue in the future." (¶239).

Date and Source	Defendant(s) for Primary Violation	Statutory Claim(s) for Primary Violation	Defendants for Section 20(a) Control Violation	Alleged Misstatement
August 15, 2018 Second Quarter 2018 Form 10-Q	AMR, Chappelle, Ellis, Dimitrievich, Hackett, Lapeyre, Leuschen, McMullen, Sinclair, Smith, Tepper, Walters	Section 10(b)	Riverstone, HPS, BCE, ARM Energy, Hackett, Chappelle, Ellis, Smith, Dimitrievich, McMullen, Lapeyre, Leuschen, Tepper, Walters, Sinclair	Alta Mesa's Second Quarter Form 10-Q incorporated the risk factors from the 2017 Form 10-K. These included the Company's assertion that "If third-party pipelines or other midstream facilities interconnected to our gathering, processing, storage or transportation systems become partially or fully unavailable, or if the volumes we gather, process, store or transport do not meet the quality requirements of the pipelines or facilities to which we connect, our gross profit and cash flow could be adversely affected." (§241).
August 15, 2018 Second Quarter 2018 Form 10-Q	AMR, Chappelle	Section 10(b)	Riverstone, HPS, BCE, ARM Energy, Hackett, Chappelle, Ellis, Smith, Dimitrievich, McMullen, Lapeyre, Leuschen, Tepper, Walters, Sinclair	"The Company also discussed its disclosure controls and internal controls in Item 4 Controls and Procedures in the 2018 Second Quarter Form 10-Q." (§244).
August 15, 2018 Second Quarter 2018 Form 10-Q	AMR, Chappelle	Section 10(b)	Riverstone, HPS, BCE, ARM Energy, Hackett, Chappelle, Ellis, Smith, Dimitrievich, McMullen, Lapeyre, Leuschen, Tepper, Walters, Sinclair	The Company's 2018 Second Quarter Form 10-Q stated "there [had] been no change in [its] internal control over financial reporting" and included signed certifications by Defendant Chappelle and Alta Mesa's CFO McCabe pursuant to Section 302 of SOX, attesting to the accuracy of financial reporting, the disclosure of any material changes to the Company's internal control over financial reporting, and the disclosure of all fraud. (§§245-246).
August 15, 2018 Second Quarter 2018 Earnings Call	Hackett, Chappelle, AMR	Section 10(b)	Riverstone, HPS, BCE, ARM Energy, Hackett, Chappelle, Ellis, Smith, Dimitrievich, McMullen, Lapeyre, Leuschen, Tepper, Walters, Sinclair	Despite Kingfisher only achieving \$9.2 million in EBITDA during the first six months of 2018 (compared to the Proxy's projection of \$185 million for 2018), Defendant Chappelle assured investors that although Alta Mesa missed estimates yet again, the Company still "offer[ed] compelling long-term returns to [its] shareholders." (§242).

Date and Source	Defendant(s) for Primary Violation	Statutory Claim(s) for Primary Violation	Defendants for Section 20(a) Control Violation	Alleged Misstatement
September 6, 2018 Barclays CEO Energy Power Conference	AMR, Chappelle	Section 10(b)	Riverstone, HPS, BCE, ARM Energy, Hackett, Chappelle, Ellis, Smith, Dimitrievich, McMullen, Lapeyre, Leuschen, Tepper, Walters, Sinclair	“During that period of time, we performed 7 pattern tests that gave us insight into the intensive multi-well patterns that we see as being necessary to produce all the economic oil that we have here. . . . [T]hat would infer 24 wells per section. We’re not going with that, but it does illustrate the potential for down spacing, and in fact, it gave us a lot of confidence because one of our strategic anchors is that we need to limit our downside.” (¶248).
September 6, 2018 Barclays CEO Energy Power Conference PowerPoint Presentation	AMR, Chappelle	Section 10(b)	Riverstone, HPS, BCE, ARM Energy, Hackett, Chappelle, Ellis, Smith, Dimitrievich, McMullen, Lapeyre, Leuschen, Tepper, Walters, Sinclair	Slide 21 showed a STACK well life-cycle implying that ESPs added to the STACK’s net daily production numbers and that utilization of ESPs continued to make economic sense. (¶250).
November 14, 2018 Third Quarter 2018 Form 10-Q	AMR, Chappelle, Ellis, Dimitrievich, Hackett, Lapeyre, Leuschen, McMullen, Sinclair, Smith, Tepper, Walters	Section 10(b)	Riverstone, HPS, BCE, ARM Energy, Hackett, Chappelle, Ellis, Smith, Dimitrievich, McMullen, Lapeyre, Leuschen, Tepper, Walters, Sinclair	The Company’s Third Quarter 10-Q incorporated by reference each of the “Risk Factors” in its 2017 Form 10-K, including that its business “involves the use of the latest available horizontal drilling, completion and production technology, which involve risks and uncertainties in their application” and that its business “depend[s] on successful exploration, exploitation, development and acquisition to maintain reserves and revenue in the future.” (¶252)

Date and Source	Defendant(s) for Primary Violation	Statutory Claim(s) for Primary Violation	Defendants for Section 20(a) Control Violation	Alleged Misstatement
November 14, 2018 Third Quarter 2018 Form 10-Q	AMR, Chappelle, Ellis, Dimitrievich, Hackett, Lapeyre, Leuschen, McMullen, Sinclair, Smith, Tepper, Walters	Section 10(b)	Riverstone, HPS, BCE, ARM Energy, Hackett, Chappelle, Ellis, Smith, Dimitrievich, McMullen, Lapeyre, Leuschen, Tepper, Walters, Sinclair	Alta Mesa incorporated the “Risk Factors” from its 2017 Form 10-K in its Third Quarter Form 10-Q, including that “If third-party pipelines or other midstream facilities interconnected to our gathering, processing, storage or transportation systems become partially or fully unavailable, or if the volumes we gather, process, store or transport do not meet the quality requirements of the pipelines or facilities to which we connect, our gross profit and cash flow could be adversely affected.” (§254).
November 14, 2018 Third Quarter 2018 Form 10-Q	Chappelle, AMR	Section 10(b)	Riverstone, HPS, BCE, ARM Energy, Hackett, Chappelle, Ellis, Smith, Dimitrievich, McMullen, Lapeyre, Leuschen, Tepper, Walters, Sinclair	<p>“Other than the changes described above, there have been no changes in our internal control over financial reporting during the three months ended September 30, 2018 that have materially affected, or are reasonably likely to materially affect, our internal control over financial reporting.” (§256).</p> <p>The 10-Q also contained SOX certifications that attested to the accuracy of financial reporting, the disclosure of any material changes to the Company’s internal control over financial reporting, and the disclosure of all fraud. (§257).</p>

EXHIBIT 2

Redline of Class Plaintiffs' Demonstrative Chart of Alleged False and Misleading Statements for Use at Oral Argument on April 24, 2024*In re Alta Mesa Resources, Inc. Securities Litigation*¹

Date and Source	Defendant(s) for Primary Violation	Statutory Claim(s) for Primary Violation	Defendants for Section 20(a) Control Violation	Alleged Misstatement
August 16, 2017 Press Release	Hackett Chappelle Walker² AMR	Section 10(b)	Riverstone, HPS, BCE, ARM Energy, Hackett, Chappelle, Ellis, Smith, Dimitrievich, McMullen, Lapeyre, Leuschen, Gutermuth, Tepper, Walters, Coats, Walker	"We formed [Alta Mesa] with the objective of acquiring-low breakeven, stacked-pay, oil-weighted assets, preferably with an integrated midstream platform." (§190).
August 16, 2017 Press Release	Hackett Chappelle Walker AMR	Section 10(b)	Riverstone, HPS, BCE, ARM Energy, Hackett, Chappelle, Ellis, Smith, Dimitrievich, McMullen, Lapeyre, Leuschen, Gutermuth, Tepper, Walters, Coats, Walker	"We see this as a tremendous way to continue our evolution as a low-cost, high-value producer in the STACK." (§190).
August 16, 2017 Press Release	Hackett Chappelle Walker AMR	Section 10(b)	Riverstone, HPS, BCE, ARM Energy, Hackett, Chappelle, Ellis, Smith, Dimitrievich, McMullen, Lapeyre, Leuschen, Gutermuth, Tepper, Walters, Coats, Walker	AMH had identified "about 4,200 gross identified drilling locations" and based on its currently producing wells AMH "expects EURs at year end to exceed 650 MBOE per well." (§190).
August 17, 2017 Investor Conference Call	Hackett Chappelle AMR	Section 10(b)	Riverstone, HPS, BCE, ARM Energy, Hackett, Chappelle, Ellis, Smith, Dimitrievich, McMullen,	"First, we'll talk about the introduction. When we went out to look for targets for [Alta Mesa], we had laid out investment criteria that are shown on slide 5. And both

¹ This table compares the contents of the chart that Class Plaintiffs' submitted in connection with opposing the motions to dismiss the corrected amended complaint (Dkt 139-3 (the "MTD Chart")) and the chart of alleged false and misleading statements that Class Plaintiffs submitted in connection with oral argument regarding motions for summary judgment. Text in **red** within the chart identifies additions or edits made to Class Plaintiffs' MTD Chart.

² The MSJ Chart is inconsistent with the TAC to the extent it indicates that Walker is a defendant for 10(b) claims. The TAC does not name Walker as a defendant for Count I, the 10(b)/10b-5 claims.

Date and Source	Defendant(s) for Primary Violation	Statutory Claim(s) for Primary Violation	Defendants for Section 20(a) Control Violation	Alleged Misstatement
and Investor Presentation			Lapeyre, Leuschen, Gutermuth, Tepper, Walters, Coats, Walker	individually as an Upstream and Midstream company and collectively as an integrated platform, this transaction satisfies those criteria.” (§192).
August 17, 2017 Investor Conference Call and Investor Presentation	Hackett Chappelle AMR	Section 10(b)	Riverstone, HPS, BCE, ARM Energy, Hackett, Chappelle, Ellis, Smith, Dimitrievich, McMullen, Lapeyre, Leuschen, Gutermuth, Tepper, Walters, Coats, Walker	The STACK was a “[h]igh margin core basin with low field break-evens [with] deep inventory.” (§192).
August 17, 2017 Investor Conference Call and Investor Presentation	Hackett Chappelle AMR	Section 10(b)	Riverstone, HPS, BCE, ARM Energy, Hackett, Chappelle, Ellis, Smith, Dimitrievich, McMullen, Lapeyre, Leuschen, Gutermuth, Tepper, Walters, Coats, Walker	“We have over 200 wells that we’ve drilled here and we’ve demonstrated the value, and we have confidence in the upside. As an illustration of that, at the end of the second quarter [of 2017], we drilled on the order of 200 wells; of those, over 160 were on production. And of that number, about 114 had sufficient production history to give us confidence that at the end of this year, our year-end reserves will reflect better than 650,000 BOE.” (§194).
August 17, 2017 Investor Presentation	Hackett, Chappelle AMR	Section 10(b)	Riverstone, HPS, BCE, ARM Energy, Hackett, Chappelle, Ellis, Smith, Dimitrievich, McMullen, Lapeyre, Leuschen, Gutermuth, Tepper, Walters, Coats, Walker	Kingfisher was “rapidly expanding” and “positioned to capture volume growth from the STACK.” (§196).
August 17, 2017 Investor Presentation	Hackett, Chappelle AMR	Section 10(b)	Riverstone, HPS, BCE, ARM Energy, Hackett, Chappelle, Ellis, Smith, Dimitrievich, McMullen, Lapeyre, Leuschen, Gutermuth, Tepper, Walters, Coats, Walker	Kingfisher was “well positioned to serve other operators” and take advantage of the “[e]xpansion opportunities in [the] rapidly growing basin.” (§196).
August 17, 2017 Investor Presentation	Hackett Chappelle AMR	Section 10(b)	Riverstone, HPS, BCE, ARM Energy, Hackett, Chappelle, Ellis, Smith, Dimitrievich, McMullen,	Alta Mesa was estimated to achieve \$358 million in EBITDA in 2018 and \$701 million in EBITDA in 2019. (§109)

Date and Source	Defendant(s) for Primary Violation	Statutory Claim(s) for Primary Violation	Defendants for Section 20(a) Control Violation	Alleged Misstatement
			Lapeyre, Leuschen, Gutermuth, Tepper, Walters, Coats, Walker	
August 17, 2017 Investor Presentation	Hackett, Chappelle AMR	Section 10(b)	Riverstone, HPS, BCE, ARM Energy, Hackett, Chappelle, Ellis, Smith, Dimitrievich, McMullen, Lapeyre, Leuschen, Gutermuth, Tepper, Walters, Coats, Walker	Kingfisher was estimated to have achieved \$42 million in 2017 EBITDA, and was expected to increase its 2018 EBITDA to \$185 million and its 2019 EBITDA to \$318 million. (¶196).
August 17, 2017 Investor Presentation	Hackett, Chappelle AMR	Section 10(b)	Riverstone, HPS, BCE, ARM Energy, Hackett, Chappelle, Ellis, Smith, Dimitrievich, McMullen, Lapeyre, Leuschen, Gutermuth, Tepper, Walters, Coats, Walker	Kingfisher was “rapidly expanding” and “positioned to capture volume growth from the STACK.” Kingfisher was “well positioned to serve other operators” and take advantage of the “[e]xpansion opportunities in [the] rapidly growing basin.” ³ Alta Mesa further stated that there was an opportunity to monetize Kingfisher and fund upstream capital needs through spinning off Kingfisher as a master limited partnership (MLP) IPO. (¶204).
January 19, 2018 Form DEFA 14A Proxy	Riverstone Hackett Gutermuth, Tepper Walters AMR	Sections 10(b) and 14(a)	For Count II (Control of Section 10(b) Fraud Claims): Riverstone, HPS, BCE, ARM Energy, Hackett, Chappelle, Ellis, Smith, Dimitrievich, McMullen, Lapeyre, Leuschen, Gutermuth, Tepper, Walters, Coats, Walker For Count IV (Control of Section 14(a) Proxy Claims): Riverstone, HPS, BCE, ARM Energy, Hackett,	AMH had achieved 2017 adjusted EBITDAX of \$155 million and was expected to increase its 2018 adjusted EBITDAX to \$358 million and its 2019 adjusted EBITDAX to \$701 million. (¶¶109, 374).

³ While this language was included in the MTD Chart, in the new MSJ chart Class Plaintiffs repeat parts of it multiple times in different rows, as if they are suggesting that the one statement can count as multiple false/misleading statements. See MSJ Chart at 2-3. Repeating this statement several times in their chart might be just a sloppy error by Class Plaintiffs, though.

Date and Source	Defendant(s) for Primary Violation	Statutory Claim(s) for Primary Violation	Defendants for Section 20(a) Control Violation	Alleged Misstatement
			Gutermuth, Tepper, Walters	
January 19, 2018 Form DEFA 14A Proxy	Riverstone Hackett Gutermuth, Tepper Walters AMR	Sections 10(b) and 14(a)	For Count II (Control of Section 10(b) Fraud Claims): Riverstone, HPS, BCE, ARM Energy, Hackett, Chappelle, Ellis, Smith, Dimitrievich, McMullen, Lapeyre, Leuschen, Gutermuth, Tepper, Walters, Coats, Walker For Count IV (Control of Section 14(a) Proxy Claims): Riverstone, HPS, BCE, ARM Energy, Hackett, Gutermuth, Tepper, Walters	The Proxy contained “financial projections . . . prepared on a reasonable basis” and “reflected the best currently available estimates and judgments of [AMH] and Kingfisher, as applicable.” The Proxy represented that its financial figures “presented, to the best of their knowledge and belief, the expected course of action and the expected future financial performance of Alta Mesa and Kingfisher, respectively.” (§§198, 207).
January 19, 2018 Form DEFA 14A Proxy	Riverstone Hackett Gutermuth, Tepper Walters AMR	Sections 10(b) and 14(a)	For Count II (Control of Section 10(b) Fraud Claims): Riverstone, HPS, BCE, ARM Energy, Hackett, Chappelle, Ellis, Smith, Dimitrievich, McMullen, Lapeyre, Leuschen, Gutermuth, Tepper, Walters, Coats, Walker For Count IV (Control of Section 14(a) Proxy Claims): Riverstone, HPS, BCE, ARM Energy, Hackett, Gutermuth, Tepper, Walters	As of September 2017, [AMH] was operating six horizontal drilling rigs in the STACK with plans to continue to operate that number of rigs through the end of 2017. (§374). The Proxy further stated that AMH planned to increase the average number of rigs in operation to 10 in 2018, and to 11 in 2019. (§374).
January 19, 2018 Form DEFA 14A Proxy	Riverstone Hackett Gutermuth, Tepper Walters AMR	Sections 10(b) and 14(a)	For Count II (Control of Section 10(b) Fraud Claims): Riverstone, HPS, BCE, ARM Energy, Hackett, Chappelle, Ellis, Smith, Dimitrievich, McMullen, Lapeyre, Leuschen, Gutermuth, Tepper,	“Of the 220 wells drilled, over 183 were on production, and of that number, about 116 had sufficient production history to give Alta Mesa’s management confidence that [AMH’s] type well EUR is greater than 650 MBOE.” (§§105, 199, 289).

Date and Source	Defendant(s) for Primary Violation	Statutory Claim(s) for Primary Violation	Defendants for Section 20(a) Control Violation	Alleged Misstatement
			Walters, Coats, Walker For Count IV (Control of Section 14(a) Proxy Claims): Riverstone, HPS, BCE, ARM Energy, Hackett, Gutermuth, Tepper, Walters	
January 19, 2018 Form DEFA 14A Proxy	Riverstone Hackett Gutermuth, Tepper Walters AMR	Sections 10(b) and 14(a)	For Count II (Control of Section 10(b) Fraud Claims): Riverstone, HPS, BCE, ARM Energy, Hackett, Chappelle, Ellis, Smith, Dimitrievich, McMullen, Lapeyre, Leuschen, Gutermuth, Tepper, Walters, Coats, Walker For Count IV (Control of Section 14(a) Proxy Claims): Riverstone, HPS, BCE, ARM Energy, Hackett, Gutermuth, Tepper, Walters	AMH had achieved an estimated 2017 average net daily production of 20.8 MBOE/d and was expected to increase its 2018 average net daily production to 38.5 MBOE/d and its 2019 average net daily production to 68.9 MBOE/d. (¶¶201, 290, 373).
January 19, 2018 Form DEFA 14A Proxy	Riverstone Hackett Gutermuth, Tepper Walters AMR	Sections 10(b) and 14(a)	For Count II (Control of Section 10(b) Fraud Claims): Riverstone, HPS, BCE, ARM Energy, Hackett, Chappelle, Ellis, Smith, Dimitrievich, McMullen, Lapeyre, Leuschen, Gutermuth, Tepper, Walters, Coats, Walker For Count IV (Control of Section 14(a) Proxy Claims): Riverstone,	AMH's deep inventory includes over 4,000 primary gross locations and over 12,000 possible locations from down spacing, as well as additional zone penetration. (¶¶105, 378). ⁴

⁴ Note that even within the TAC, this statement only appears in the "Background Facts" section, and there isn't even a paragraph explaining why it is allegedly false or misleading.

Date and Source	Defendant(s) for Primary Violation	Statutory Claim(s) for Primary Violation	Defendants for Section 20(a) Control Violation	Alleged Misstatement
			HPS, BCE, ARM Energy, Hackett, Gutermuth, Tepper, Walters	
January 19, 2018 Form DEFA 14A Proxy	Riverstone Hackett Gutermuth, Tepper Walters AMR	Sections 10(b) and 14(a)	For Count II (Control of Section 10(b) Fraud Claims): Riverstone, HPS, BCE, ARM Energy, Hackett, Chappelle, Ellis, Smith, Dimitrievich, McMullen, Lapeyre, Leuschen, Gutermuth, Tepper, Walters, Coats, Walker For Count IV (Control of Section 14(a) Proxy Claims): Riverstone, HPS, BCE, ARM Energy, Hackett, Gutermuth, Tepper, Walters	Kingfisher had developed a strong, local midstream system underpinned by long-term acreage dedication contracts from multiple active producers, as well as firm takeaway contracts on key pipelines, Kingfisher was well-positioned to benefit from increasing upstream development activity in an active and prolific basin with upside potential from further expansion projects, . . . and there was significant upside in the completion of the Kingfisher system and the potential for a subsequent midstream initial public offering. (§§106, 374).
January 19, 2018 Form DEFA 14A Proxy	Riverstone Hackett Gutermuth, Tepper Walters AMR	Sections 10(b) and 14(a)	For Count II (Control of Section 10(b) Fraud Claims): Riverstone, HPS, BCE, ARM Energy, Hackett, Chappelle, Ellis, Smith, Dimitrievich, McMullen, Lapeyre, Leuschen, Gutermuth, Tepper, Walters, Coats, Walker For Count IV (Control of Section 14(a) Proxy Claims): Riverstone, HPS, BCE, ARM Energy, Hackett, Gutermuth, Tepper, Walters	Silver Run believes that Kingfisher offers a unique opportunity to own a rapidly expanding midstream business underpinned by 10 to 15 year acreage dedications early in their term and that Kingfisher also has the potential for a subsequent midstream initial public offering." (§105). ⁵
January 19, 2018 Form DEFA 14A	Riverstone Hackett	Sections 10(b)	For Count II (Control of Section 10(b) Fraud Claims): Riverstone,	Kingfisher was estimated to have achieved \$42 million in 2017 EBITDA and was expected to increase its 2018

⁵ Note that even within the TAC, this statement only appears in the “Background Facts” section, and there isn’t even a paragraph explaining why it is allegedly false or misleading.

Date and Source	Defendant(s) for Primary Violation	Statutory Claim(s) for Primary Violation	Defendants for Section 20(a) Control Violation	Alleged Misstatement
Proxy	Gutermuth, Tepper Walters AMR	and 14(a)	HPS, BCE, ARM Energy, Hackett, Chappelle, Ellis, Smith, Dimitrievich, McMullen, Lapeyre, Leuschen, Gutermuth, Tepper, Walters, Coats, Walker For Count IV (Control of Section 14(a) Proxy Claims): Riverstone, HPS, BCE, ARM Energy, Hackett, Gutermuth, Tepper, Walters	EBITDA to \$185 million and its 2019 EBITDA to \$318 million. (§§ 109, 206, 374).
January 19, 2018 Form DEFA 14A Proxy	Riverstone Hackett Gutermuth, Tepper Walters AMR	Sections 10(b) and 14(a)	For Count II (Control of Section 10(b) Fraud Claims): Riverstone, HPS, BCE, ARM Energy, Hackett, Chappelle, Ellis, Smith, Dimitrievich, McMullen, Lapeyre, Leuschen, Gutermuth, Tepper, Walters, Coats, Walker For Count IV (Control of Section 14(a) Proxy Claims): Riverstone, HPS, BCE, ARM Energy, Hackett, Gutermuth, Tepper, Walters	[AMH]’s policies and practices regarding internal controls over the recording of reserves are structured to objectively and accurately estimate its oil and gas reserves quantities and present values in compliance with rules, regulations and guidance provided by the SEC, as well as established industry practices used by independent engineering firms and its peers and in accordance with the 2007 Petroleum Resources Management System sponsored and approved by the Society of Petroleum Engineers, the World Petroleum Council, the American Association of Petroleum Geologists and the Society of Petroleum Evaluation Engineers. . . . [AMH’s]’s methodologies include reviews of production trends, material balance calculations, analogy to comparable properties and/or volumetric analysis. (§§ 111, 293).
February 21, 2018 EnerCom Dallas Conference Investor Presentation	Chappelle Ellis AMR	Section 10(b)	Riverstone, HPS, BCE, ARM Energy, Hackett, Chappelle, Ellis, Smith, Dimitrievich, McMullen, Lapeyre, Leuschen, Gutermuth, Tepper, Walters, Sinclair	“2012-2017 Execution and Results De-Risk [the] Investment” “Multi-well development projects initiated in 2017; previous pattern tests validate approach” “Consistency and geographic breadth of well results underscores repeatable development”

Date and Source	Defendant(s) for Primary Violation	Statutory Claim(s) for Primary Violation	Defendants for Section 20(a) Control Violation	Alleged Misstatement
				“Spacing test pilots establish basis for development approach” (§209).
March 29, 2018 Press Release Announcing Earnings Results and Earnings Presentation	Chappelle Ellis Hackett AMR	Section 10(b)	Riverstone, HPS, BCE, ARM Energy, Hackett, Chappelle, Ellis, Smith, Dimitrievich, McMullen, Lapeyre, Leuschen, Gutermuth, Tepper, Walters, Sinclair	We have de-risked our acreage with over 250 operated horizontal wells and a comprehensive scientific and engineering effort to begin systematic development with multi-well patterns. (§211).
March 29, 2018 Press Release Announcing Earnings Results and Earnings Presentation	Chappelle Ellis Hackett AMR	Section 10(b)	Riverstone, HPS, BCE, ARM Energy, Hackett, Chappelle, Ellis, Smith, Dimitrievich, McMullen, Lapeyre, Leuschen, Gutermuth, Tepper, Walters, Sinclair	We expect drilling and completion costs on these wells to average \$3.8 million and our type curve has been approximately 650 MBOE per well. (§211).
March 29, 2018 Press Release Announcing Earnings Results and Earnings Presentation	Chappelle Ellis Hackett AMR	Section 10(b)	Riverstone, HPS, BCE, ARM Energy, Hackett, Chappelle, Ellis, Smith, Dimitrievich, McMullen, Lapeyre, Leuschen, Gutermuth, Tepper, Walters, Sinclair	Slide 6 of the Fourth Quarter Earnings Presentation suggested that an 8-to-10 well density per section was optimal for the STACK. (§214).
March 29, 2018 Fourth Quarter 2017 Earnings Conference Call	Chappelle Ellis Hackett AMR	Section 10(b)	Riverstone, HPS, BCE, ARM Energy, Hackett, Chappelle, Ellis, Smith, Dimitrievich, McMullen, Lapeyre, Leuschen, Gutermuth, Tepper, Walters, Sinclair	With respect to its Kingfisher midstream business, Alta Mesa stated that “despite setbacks in late 2017 and early 2018, with regard to [Kingfisher], our vision for growth remain [sic] strong We believe our margins are going to continue to expand as we build up critical infrastructure and expand production on existing wells” (§216).
March 29, 2018 Fourth Quarter 2017 Earnings	Chappelle Ellis Hackett	Section 10(b)	Riverstone, HPS, BCE, ARM Energy, Hackett, Chappelle, Ellis, Smith, Dimitrievich, McMullen,	“During [2017 and early 2018] we ... further de-risked and delineated our Kingfisher County acreage [in STACK].... We have transitioned from spacing tests to development

Date and Source	Defendant(s) for Primary Violation	Statutory Claim(s) for Primary Violation	Defendants for Section 20(a) Control Violation	Alleged Misstatement
Conference Call	AMR		Lapeyre, Leuschen, Gutermuth, Tepper, Walters, Sinclair	patterns.” (§213).
March 29, 2018 Fourth Quarter 2017 Earnings Conference Call	Chappelle Ellis Hackett AMR	Section 10(b)	Riverstone, HPS, BCE, ARM Energy, Hackett, Chappelle, Ellis, Smith, Dimitrievich, McMullen, Lapeyre, Leuschen, Gutermuth, Tepper, Walters, Sinclair	Defendant Chappelle, the CEO of the combined business (and former CEO of AMH), stated that multiple “large third-party producers” had delayed drilling on acreage served by Kingfisher (§ 140) See also: § 18. (“While announcing these previously known-but- undisclosed defects in March and August 2018, Alta Mesa repeatedly characterized the problems as merely temporary delays and continued to advertise that the Company was poised for substantial growth. However, in truth Alta Mesa was drilling too many wells and deploying expensive ESP pumps to temporarily inflate its short-term oil production because it knew the Company’s acreage could not produce the advertised amounts of oil in the long term.”) ⁶
March 29, 2018 2017 Form 10-K	Chappelle Ellis Dimitrievich Gutermuth Hackett Lapeyre Leuschen McMullen Sinclair Smith Tepper Walters	Section 10(b)	Riverstone, HPS, BCE, ARM Energy, Hackett, Chappelle, Ellis, Smith, Dimitrievich, McMullen, Lapeyre, Leuschen, Gutermuth, Tepper, Walters, Sinclair	Risk Factor that, “If third-party pipelines or other midstream facilities interconnected to our gathering, processing, storage or transportation systems become partially or fully unavailable, or if the volumes we gather, process, store or transport do not meet the quality requirements of the pipelines or facilities to which we connect, our gross profit and cash flow could be adversely affected.” (§217).

⁶ These statements (at TAC § 18 and § 140) only appear in the TAC’s “Background Facts” section, and there isn’t even a paragraph explaining why it is allegedly false or misleading.

Date and Source	Defendant(s) for Primary Violation	Statutory Claim(s) for Primary Violation	Defendants for Section 20(a) Control Violation	Alleged Misstatement
	AMR			
March 29, 2018 2017 Form 10-K	Chappelle Ellis Dimitrievich Gutermuth Hackett Lapeyre Leuschen McMullen Sinclair Smith Tepper Walters AMR	Section 10(b)	Riverstone, HPS, BCE, ARM Energy, Hackett, Chappelle, Ellis, Smith, Dimitrievich, McMullen, Lapeyre, Leuschen, Gutermuth, Tepper, Walters, Sinclair	Alta Mesa's 2017 Form 10-K stated that that "there [had] been no change in [its] internal control over financial reporting" in Item [9A] Controls and Procedures. (§219).
March 29, 2018 2017 Form 10-K	Chappelle AMR	Section 10(b)	Riverstone, HPS, BCE, ARM Energy, Hackett, Chappelle, Ellis, Smith, Dimitrievich, McMullen, Lapeyre, Leuschen, Gutermuth, Tepper, Walters, Sinclair	SOX certifications attested to the accuracy of the Company's financial reporting, the disclosure of any material changes to the Company's internal control over financial reporting, and the disclosure of all fraud. (§220).
April 9, 2018 IPAA-OGIS Conference and Investor Presentation	Chappelle Hackett AMR	Section 10(b)	Riverstone, HPS, BCE, ARM Energy, Hackett, Chappelle, Ellis, Smith, Dimitrievich, McMullen, Lapeyre, Leuschen, Gutermuth, Tepper, Walters, Sinclair	With regard to utilizing ESPs in the STACK, Chappelle stated while discussing a slide titled "Production Optimization," that "[n]ow, here's one simple example of a well that we optimized with an ESP, obviously a very good outcome if you're producing on the order of 800 barrels a day.... But the point is there are opportunities like this. We've got a lot of effort focused on that." (§222).
April 9, 2018 IPAA-OGIS Conference and Investor	Chappelle Hackett AMR	Section 10(b)	Riverstone, HPS, BCE, ARM Energy, Hackett, Chappelle, Ellis, Smith, Dimitrievich, McMullen, Lapeyre, Leuschen, Gutermuth,	Slide 8 of Chappelle's presentation at the IPAA Conference stated the STACK was generating "[c]onsistent [w]ell [r]esults [with] [d]urable economics over time across acreage position." (§224).

Date and Source	Defendant(s) for Primary Violation	Statutory Claim(s) for Primary Violation	Defendants for Section 20(a) Control Violation	Alleged Misstatement
Presentation			Tepper, Walters, Sinclair	
April 9, 2018 IPAA-OGIS Conference and Investor Presentation	Chappelle Hackett AMR	Section 10(b)	Riverstone, HPS, BCE, ARM Energy, Hackett, Chappelle, Ellis, Smith, Dimitrievich, McMullen, Lapeyre, Leuschen, Gutermuth, Tepper, Walters, Sinclair	Slide 9 of the IPAA Conference presentation touted ESP utilization as a method to “maximize ROI, EBITDA and optimize reserves.” (§224).
May 14, 2018 First Quarter 2018 Investor Presentation	Chappelle Hackett AMR	Section 10(b)	Riverstone, HPS, BCE, ARM Energy, Hackett, Chappelle, Ellis, Smith, Dimitrievich, McMullen, Lapeyre, Leuschen, Gutermuth, Tepper, Walters, Sinclair	Slide 6 of the presentation stated: “Multi-well development pattern results across field are favorable.” Slide 9 of the presentation showed a well life-cycle implying that ESPs added to the STACK net daily production numbers and that utilization of ESPs continued to make economic sense. When Chappelle showed this slide to investors, he stated: “I’d like to refer you to Slide 9 in our presentation for three recent examples of the gains we’ve seen in that lift optimization.” (§226).
May 14, 2018 First Quarter 2018 Investor Call	Chappelle AMR	Section 10(b)	Riverstone, HPS, BCE, ARM Energy, Hackett, Chappelle, Ellis, Smith, Dimitrievich, McMullen, Lapeyre, Leuschen, Gutermuth, Tepper, Walters, Sinclair	Defendant Chappelle also stated: “We are reaffirming our FY ‘18 guidance. We have confidence in presenting operating results such as production, revenue, expenses as those numbers will not change.” (§227).
May 21, 2018 First Quarter 2018 Form 10-Q	Chappelle Ellis Dimitrievich Gutermuth Hackett Lapeyre Leuschen McMullen Sinclair Smith	Section 10(b)	Riverstone, HPS, BCE, ARM Energy, Hackett, Chappelle, Ellis, Smith, Dimitrievich, McMullen, Lapeyre, Leuschen, Gutermuth, Tepper, Walters, Sinclair	Incorporated Risk Factors from 2017 Form 10-K, including the assertion that its business “involves the use of the latest available horizontal drilling, completion and production technology, which involve risks and uncertainties in their application” and that its business “depend[s] on successful exploration, exploitation, development and acquisitions to maintain reserves and revenue in the future.” (§229).

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	Tepper Walters AMR			
May 21, 2018 First Quarter 2018 Form 10-Q	Chappelle Ellis Dimitrievich Gutermuth Hackett Lapeyre Leuschen McMullen Sinclair Smith Tepper Walters AMR	Section 10(b)	Riverstone, HPS, BCE, ARM Energy, Hackett, Chappelle, Ellis, Smith, Dimitrievich, McMullen, Lapeyre, Leuschen, Gutermuth, Tepper, Walters, Sinclair	The Incorporated Risk Factors from 2017 Form 10-K, included the following statement: “If third-party pipelines or other midstream facilities interconnected to our gathering, processing, storage or transportation systems become partially or fully unavailable, or if the volumes we gather, process, store or transport do not meet the quality requirements of the pipelines or facilities to which we connect, our gross profit and cash flow could be adversely affected.” (§231).
May 21, 2018 First Quarter 2018 Form 10-Q	Chappelle AMR	Section 10(b)	Riverstone, HPS, BCE, ARM Energy, Hackett, Chappelle, Ellis, Smith, Dimitrievich, McMullen, Lapeyre, Leuschen, Gutermuth, Tepper, Walters, Sinclair	Item 4 represented that the Company’s internal controls over financial reporting were effective and required no change. (§233). SOX certifications attested the accuracy of the Company’s financial reporting, the disclosure of any material changes to the Company’s internal control over financial reporting, and the disclosure of all fraud. (§235).
August 14, 2018 Second Quarter 2018 Earnings Call and Presentation	Hackett Chappelle AMR	Section 10(b)	Riverstone, HPS, BCE, ARM Energy, Hackett, Chappelle, Ellis, Smith, Dimitrievich, McMullen, Lapeyre, Leuschen, Tepper, Walters, Sinclair	Slide 9 of the PowerPoint presentation made available for all participants stated: “Multi-well development pattern results continue to be favorable.” (§237).
August 14, 2018 Second Quarter	Hackett Chappelle	Section 10(b)	Riverstone, HPS, BCE, ARM Energy, Hackett, Chappelle, Ellis,	Slide 17 of the presentation showed a well life-cycle implying that ESPs added to the STACK’s net daily

Date and Source	Defendant(s) for Primary Violation	Statutory Claim(s) for Primary Violation	Defendants for Section 20(a) Control Violation	Alleged Misstatement
2018 Earnings Call and Presentation	AMR		Smith, Dimitrievich, McMullen, Lapeyre, Leuschen, Tepper, Walters, Sinclair	production numbers and that utilization of ESPs continued to make economic sense. (¶237).
August 15, 2018 Second Quarter 2018 Form 10-Q	Chappelle Ellis Dimitrievich Hackett Lapeyre Leuschen McMullen Sinclair Smith Tepper Walters AMR	Section 10(b)	Riverstone, HPS, BCE, ARM Energy, Hackett, Chappelle, Ellis, Smith, Dimitrievich, McMullen, Lapeyre, Leuschen, Tepper, Walters, Sinclair	Alta Mesa's Second Quarter Form 10-Q incorporated by reference each of the "Risk Factors" in its 2017 Form 10-K. These included the assertion that Alta Mesa's business "involves the use of the latest available horizontal drilling, completion and production technology, which involve risks and uncertainties in their application" and that its business "depend[s] on successful exploration, exploitation, development and acquisition to maintain reserves and revenue in the future." (¶239).
August 15, 2018 Second Quarter 2018 Form 10-Q	Chappelle Ellis Dimitrievich Hackett Lapeyre Leuschen McMullen Sinclair Smith Tepper Walters AMR	Section 10(b)	Riverstone, HPS, BCE, ARM Energy, Hackett, Chappelle, Ellis, Smith, Dimitrievich, McMullen, Lapeyre, Leuschen, Tepper, Walters, Sinclair	Alta Mesa's Second Quarter Form 10-Q incorporated the risk factors from the 2017 Form 10-K. These included the Company's assertion that "If third-party pipelines or other midstream facilities interconnected to our gathering, processing, storage or transportation systems become partially or fully unavailable, or if the volumes we gather, process, store or transport do not meet the quality requirements of the pipelines or facilities to which we connect, our gross profit and cash flow could be adversely affected." (¶241).
August 15, 2018 Second Quarter 2018 Form 10-Q	Chappelle AMR	Section 10(b)	Riverstone, HPS, BCE, ARM Energy, Hackett, Chappelle, Ellis, Smith, Dimitrievich, McMullen, Lapeyre, Leuschen, Tepper,	"The Company also discussed its disclosure controls and internal controls in Item 4 Controls and Procedures in the 2018 Second Quarter Form 10-Q." (¶244).

Date and Source	Defendant(s) for Primary Violation	Statutory Claim(s) for Primary Violation	Defendants for Section 20(a) Control Violation	Alleged Misstatement
			Walters, Sinclair	
August 15, 2018 Second Quarter 2018 Form 10-Q	Chappelle AMR	Section 10(b)	Riverstone, HPS, BCE, ARM Energy, Hackett, Chappelle, Ellis, Smith, Dimitrievich, McMullen, Lapeyre, Leuschen, Tepper, Walters, Sinclair	The Company's 2018 Second Quarter Form 10-Q stated "there [had] been no change in [its] internal control over financial reporting" and included signed certifications by Defendant Chappelle and Alta Mesa's CFO McCabe pursuant to Section 302 of SOX, attesting to the accuracy of financial reporting, the disclosure of any material changes to the Company's internal control over financial reporting, and the disclosure of all fraud. (§§245-246).
August 15, 2018 Second Quarter 2018 Earnings Call	Chappelle Hackett AMR	Section 10(b)	Riverstone, HPS, BCE, ARM Energy, Hackett, Chappelle, Ellis, Smith, Dimitrievich, McMullen, Lapeyre, Leuschen, Tepper, Walters, Sinclair	Despite Kingfisher only achieving \$9.2 million in EBITDA during the first six months of 2018 (compared to the Proxy's projection of \$185 million for 2018), Defendant Chappelle assured investors that although Alta Mesa missed estimates yet again, the Company still "offer[ed] compelling long-term returns to [its] shareholders." (§242).
September 6, 2018 Barclays CEO Energy Power Conference	Chappelle AMR	Section 10(b)	Riverstone, HPS, BCE, ARM Energy, Hackett, Chappelle, Ellis, Smith, Dimitrievich, McMullen, Lapeyre, Leuschen, Tepper, Walters, Sinclair	"During that period of time, we performed 7 pattern tests that gave us insight into the intensive multi-well patterns that we see as being necessary to produce all the economic oil that we have here. . . . [T]hat would infer 24 wells per section. We're not going with that, but it does illustrate the potential for down spacing, and in fact, it gave us a lot of confidence because one of our strategic anchors is that we need to limit our downside." (§248).
September 6, 2018 Barclays CEO Energy Power Conference PowerPoint	Chappelle AMR	Section 10(b)	Riverstone, HPS, BCE, ARM Energy, Hackett, Chappelle, Ellis, Smith, Dimitrievich, McMullen, Lapeyre, Leuschen, Tepper, Walters, Sinclair	Slide 21 showed a STACK well life-cycle implying that ESPs added to the STACK's net daily production numbers and that utilization of ESPs continued to make economic sense. (§250).

Date and Source	Defendant(s) for Primary Violation	Statutory Claim(s) for Primary Violation	Defendants for Section 20(a) Control Violation	Alleged Misstatement
Presentation				
November 14, 2018 Third Quarter 2018 Form 10-Q	Chappelle Ellis Dimitrievich Hackett Lapeyre Leuschen McMullen Sinclair Smith Tepper Walters AMR	Section 10(b)	Riverstone, HPS, BCE, ARM Energy, Hackett, Chappelle, Ellis, Smith, Dimitrievich, McMullen, Lapeyre, Leuschen, Tepper, Walters, Sinclair	The Company's Third Quarter 10-Q incorporated by reference each of the "Risk Factors" in its 2017 Form 10-K, including that its business "involves the use of the latest available horizontal drilling, completion and production technology, which involve risks and uncertainties in their application" and that its business "depend[s] on successful exploration, exploitation, development and acquisition to maintain reserves and revenue in the future." (§252)
November 14, 2018 Third Quarter 2018 Form 10-Q	Chappelle Ellis Dimitrievich Hackett Lapeyre Leuschen McMullen Sinclair Smith Tepper Walters AMR	Section 10(b)	Riverstone, HPS, BCE, ARM Energy, Hackett, Chappelle, Ellis, Smith, Dimitrievich, McMullen, Lapeyre, Leuschen, Tepper, Walters, Sinclair	Alta Mesa incorporated the "Risk Factors" from its 2017 Form 10-K in its Third Quarter Form 10-Q, including that "If third- party pipelines or other midstream facilities interconnected to our gathering, processing, storage or transportation systems become partially or fully unavailable, or if the volumes we gather, process, store or transport do not meet the quality requirements of the pipelines or facilities to which we connect, our gross profit and cash flow could be adversely affected." (§254).
November 14, 2018 Third Quarter 2018 Form 10-Q	Chappelle AMR	Section 10(b)	Riverstone, HPS, BCE, ARM Energy, Hackett, Chappelle, Ellis, Smith, Dimitrievich, McMullen, Lapeyre, Leuschen, Tepper, Walters, Sinclair	"Other than the changes described above, there have been no changes in our internal control over financial reporting during the three months ended September 30, 2018 that have materially affected, or are reasonably likely to materially affect, our internal control over financial reporting." (§256). The 10-Q also contained SOX certifications that attested to

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				the accuracy of financial reporting, the disclosure of any material changes to the Company's internal control over financial reporting, and the disclosure of all fraud. (¶257).